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Attn: Jacob Eisenberg

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#3

Assistant Commissioner for Patents
Washington DC 20231

Re: US Patent Application
Your Ref: P1999,0012US; My Ref: Epp.012
Filed: December 29, 2000; Serial No. 09/751,932
Inventors: Ulrich STEEGMUELLER *et al.*
Title: Optical Encoder with Triple Photodiode

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION, FILING DATE GRANTED and FILING RECEIPT, a copy of which is attached hereto, the following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Transmittal Form;
2. Fee Transmittal, form PTO/SB/17 (duplicate);
3. Petition for Extension of Time
4. Credit Card Payment Form, PTO-2038;
5. Declaration/Oath and Power of Attorney;
6. Notice to File Missing Parts of Nonprovisional Application (PTO Copy);
7. Preliminary Amendment; and
8. Return Post Card.

It is respectfully requested that the attached post card be stamped with the filing date of these documents and returned to us via post. A duplicate of this letter is enclosed.

Respectfully submitted,

Jacob Eisenberg
Attorney for Applicant
Registration No. 43,410

Date: May 14, 2001



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/751,932	
	Filing Date	December 29, 2000	
	First Named Inventor	Ulrich Steegmueller	
	Group Art Unit	2878	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	37	Attorney Docket Number	P1999,0012US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	See Remarks
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Substitute Specification Return Card Notice to File Missing Parts (PTO Copy)	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Epping, Herman & Fischer; Customer No. 000026461 ATTN: Jacob Eisenberg, Esq.
Signature	
Date	Mar 14, 2001

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>		
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Signature	<input type="text"/>	Date

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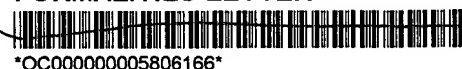
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,932	12/29/2000	Ulrich Steegmueller	P1999,0012US

CONFIRMATION NO. 7981

000026461
EPPING, HERMANN & FISCHER
RIDLERSTRASSE 55
MUNCHEN, BAVARIA, D-80339
GERMANY

FORMALITIES LETTER



OC000000005806166

Date Mailed: 02/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$36.
 - \$36 for 2 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 876.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:


- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
 - The specification cannot be scanned or properly stored. Page(s)

05/30/2001 ANABI1 00000068 09751932

01 FC:101	710.00 OP	o Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and
02 FC:105	130.00 OP	
03 FC:103	36.00 OP	

white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 1 are not in compliance with 37 CFR 1.52(a).

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



105 B
101 B
105 2
103 x

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PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	09/751,932
		Filing Date	December 29, 2000
		First Named Inventor	Ulrich Steegmueller
		Examiner Name	Unassigned
		Group Art Unit	2878
TOTAL AMOUNT OF PAYMENT (\$) 986		Attorney Docket No.	P1999,0012US

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																													
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: Deposit Account Name: <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES																																																																																																																													
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Jacob Eisenberg	Registration No. (Attorney/Agent)	43,410
Signature	<i>Jacob Eisenberg</i>	Telephone	+49895003290
		Date	May 14, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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